

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 12-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

GRP-0107

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/526684**

INTERNATIONAL APPLICATION NO.  
**PCT/DK2003/000577**

INTERNATIONAL FILING DATE  
**4 September 2003**

PRIORITY DATE CLAIMED  
**4 September 2002**

TITLE OF INVENTION

**A METHOD AND A DEVICE FOR LIFTING AND/OR LOWERING OF OBJECTS AT A WIND TURBINE OR THE LIKE AND USES HEREOF**

APPLICANT(S) FOR DO/EO/US

**PAUL TEICHERT**

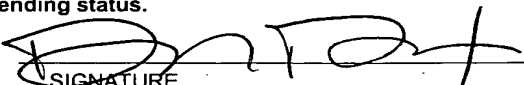
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 23 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV582717828US**
23. ☒ Other items or information:

**Priority**

|  |              |  |            |  |            |
|--|--------------|--|------------|--|------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.6)  |              | INTERNATIONAL APPLICATION NO.  |            | ATTORNEY'S DOCKET NUMBER   |            |
| 10/526684  |              | PCT/DK2003/000577  |            | GRP-0107   |            |
| 24. The following fees are submitted:  |              |  |            | Applicant use  | Office use |
| <input checked="" type="checkbox"/> a) Basic national fee . . . . . \$300.00   |              |  |            | \$ 300.00  |            |
| <input checked="" type="checkbox"/> b) Examination fee . . . . . \$200.00  |              |  |            | \$ 200.00  |            |
| <input checked="" type="checkbox"/> c) Search fee . . . . . \$500.00   |              |  |            | \$ 500.00  |            |
| <b>TOTAL OF ABOVE CALCULATIONS =</b> \$1000.00   |              |  |            | \$ 1,000.00  |            |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |              |  |            |  |            |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE       |  |            |
| - 100 =  | 0 /50 =      | 0  | x \$250.00 | \$ 0.00  |            |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |            | \$   |            |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA   | RATE       |  |            |
| Total claims   | 26 - 20 =    | 6  | x \$50.00  | \$ 300.00  |            |
| Independent claims   | 2 - 3 =      | 0  | x \$200.00 | \$ 0.00  |            |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00  |              |  |            | \$ 0.00  |            |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |  |            | \$ 1,300.00  |            |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |  |            | \$ 650.00  |            |
| <b>SUBTOTAL =</b>  |              |  |            | \$ 650.00  |            |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |  |            | \$ 0.00  |            |
| <b>TOTAL NATIONAL FEE =</b>  |              |  |            | \$ 650.00  |            |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +  |              |  |            | \$ 0.00  |            |
| <b>TOTAL FEES ENCLOSED =</b>   |              |  |            | \$ 650.00  |            |
| <b>Amount to be refunded:</b>  |              |  |            | \$   |            |
| <b>Amount to be charged:</b>   |              |  |            | \$   |            |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.<br>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>06-1130</u> in the amount of <u>\$650.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1130</u> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038. |              |  |            |  |            |
| <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  |              |  |            |  |            |
| SEND ALL CORRESPONDENCE TO:<br>Daniel F. Drexler, Reg. No. 47,535<br>CANTOR COLBURN LLP<br>55 Griffin Road South<br>Bloomfield, CT 06002<br>Telephone: 860-286-2929<br>Customer No.: 23413   |              |  |            |  |            |
|  |              |  |            | <br>SIGNATURE<br>Daniel F. Drexler 03/03/05<br>NAME<br>47,535<br>REGISTRATION NUMBER |            |

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): PAUL TEICHERT

**10/526684**

GRP-0107

DT01 Rec'd PCT/PT 03 MAR 2005

|                 |             |          |                       |                |
|-----------------|-------------|----------|-----------------------|----------------|
| Application No. | Filing Date | Examiner | Customer No.<br>23413 | Group Art Unit |
|-----------------|-------------|----------|-----------------------|----------------|

Invention: A METHOD AND A DEVICE FOR LIFTING AND/OR LOWERING OF OBJECTS AT A WIND TURBINE OR THE LIKE AND USES HEREOF

I hereby certify that the following correspondence:

Patent Application under 35 CFR 371; Preliminary Amendment; Priority Sheet

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

March 3, 2005*(Date)*Jennifer Lepage*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EV582717828US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**